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# ELECTRICAL CHARACTERIZATION OF *MIS* STRUCTURES WITH SOL-GEL TiO<sub>2</sub>(La) DIELECTRIC FILMS

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The capacitance-voltage and current-voltage characteristics of MIS structures with sol-gel TiO<sub>2</sub> (180-230 nm) films doped with lanthanum are studied. The dielectric constant of the undoped films is found to be 60.5, whereas for the TiO<sub>2</sub>(La) films with a molar ratio of La/Ti = 0.028 it increases up to 93.5. Further increase of the La/Ti molar ratio, however, leads to a decrease of the dielectric constant. The fixed dielectric charge is of the order of  $10^{11}$  cm<sup>-2</sup> and the trap density at the TiO<sub>2</sub>(La)/Si interface is of the order of  $10^{12}$  eV cm<sup>-2</sup>. Trap-assisted tunneling of electrons in TiO<sub>2</sub> conduction band is established as the transport mechanism.

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#### 1. Introduction

In modern MOS devices, the operation of a SiO<sub>2</sub> gate insulator with a thickness of a few nanometers is accompanied by charge carrier tunneling by direct Fowler-Nordheim emission from Si into the metal or by trap-assisted tunneling into the SiO<sub>2</sub>. Trap-assisted tunneling at lower fields generates leakage currents several orders of magnitude larger than direct Fowler-Nordheim tunneling. Therefore, such leakage currents hinder further miniaturization of MOS ICs. This problem can be resolved by replacement of the SiO<sub>2</sub> gate layer with other dielectrics possessing much higher dielectric constants,  $\varepsilon_d$ . A TiO<sub>2</sub> thin film is very promising since, its dielectric constant is the highest in comparison with those of Ta<sub>2</sub>O<sub>5</sub> or Y<sub>2</sub>O<sub>3</sub> as candidates for the active gate dielectric. Different technologies have been developed for the deposition of TiO<sub>2</sub> films [1,2]. Among them, the sol-gel method [3] is the most suitable for preparing films with high homogeneity, purity and rigorous doping control. It has been observed [4] that incorporation of lanthanum in TiO<sub>2</sub> increases its dielectric constant. Unfortunately, TiO<sub>2</sub> films have an increased leakage current in comparison with thermally grown SiO<sub>2</sub> layers [1]. Therefore, the establishment of the carrier transport mechanism through the TiO<sub>2</sub> is an indispensable step in the efforts to decrease the leakage current in the TiO<sub>2</sub> gate dielectric.

In this paper we present results from a study of the electrical properties of sol-gel  $TiO_2$  dielectric films doped with lanthanum. The conduction mechanism through the  $TiO_2$  (La) films is also considered.

## 2. Experimental details

The sol-gel deposition method for the studied  $TiO_2$  films is described in detail elsewhere [3]. Briefly, Si(111) n-type wafers were dip-coated in a titanium alkoxide solution. Some of the oxides were doped with lanthanum, with three different concentrations, during deposition. The molar ratios

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La/Ti in the deposited TiO<sub>2</sub> films were 0.028, 0.1 and 0.22 [3]. These films will be denoted as  $TiO_2(La^1)$ ,  $TiO_2(La^2)$  and  $TiO_2(La^3)$ , respectively. After deposition, the films were dried in air at room temperature for 24 h and then annealed in air at 700 °C for 1 h. This thermal treatment transformed the amorphous TiO<sub>2</sub> structure into the high temperature crystalline form of rutile, and resulted in a sufficiently dense film [5]. The thickness of the  $TiO_2(La)$  films determined by ellipsometric measurements was in the range 182 – 233 nm.

For the electrical measurements, metal-insulator-silicon (MIS) capacitors were formed by vacuum evaporation of Al dots through a metal mask onto the  $TiO_2$  surface, and of a continuous Al film on the silicon rear side. The capacitance-voltage (C-V) characteristics were measured with a E7-12 type LCR meter, in temperature range 101-290 K, at 1 MHz and with a 25 mV test voltage. The current-voltage (I-V) measurements were carried out in the temperature range 88-291 K.

#### 3. Results and discussion

The C-V characteristics of the MIS structures with the  $TiO_2$  films are presented in Fig. 1. As seen, for the undoped  $TiO_2$  film the C-V curve is steep, which is indicative of a low density of  $TiO_2$ -Si interface traps. Small doping concentrations of La in the  $TiO_2$  films resulted in a small shift of the C-V curve toward negative voltages and a slight decrease in its slope. The increase of the La/Ti molar ratio to 0.22 resulted in a considerable shift of the C-V curve toward positive voltages, and a further decrease of its slope.

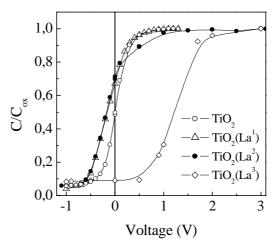


Fig. 1. Normalized C-V characteristics of MIS structures, measured at room temperature.

The values of  $\varepsilon_{ox}$  were determined from the maximum capacitance  $C_{ox}$  ( $C_{ox}/S = \varepsilon_{ox}/d$ ), where S is the Al dot area and  $\varepsilon_{ox}$  and d are the dielectric constant and film thickness respectively. For the TiO<sub>2</sub> films,  $\varepsilon_{ox}$  was 60.5 $\varepsilon_{0}$ . For the TiO<sub>2</sub>(La<sup>1</sup>) film, it increased considerably, to 93.6 $\varepsilon_{0}$ . For the TiO<sub>2</sub>(La<sup>2</sup>) film  $\varepsilon_{ox}$  was 61.2 $\varepsilon_{0}$ , while for TiO<sub>2</sub>(La<sup>3</sup>) film it was 49.5 $\varepsilon_{0}$ . The latter value is even lower than  $\varepsilon_{ox}$  for the undoped TiO<sub>2</sub>. Nevertheless, all these films have  $\varepsilon_{ox}$  values which are 15 and 25 times higher than that of SiO<sub>2</sub> ( $\varepsilon_{SiO2} = 3.8\varepsilon_{0}$ ). Since  $d_{SiO2} = (\varepsilon_{SiO2}/\varepsilon_{ox})d_{TiO2}$ , in order to obtain the same large capacitance changes obtained with Al-TiO<sub>2</sub>-Si structures, for a standard Al-SiO<sub>2</sub>-Si structure the effective thickness of the SiO<sub>2</sub> layer should be less than 10 nm. Therefore, undesirable effects, such as Fowler-Nordheim tunnelling, as observed through SiO<sub>2</sub>, are avoided in the considerably thicker TiO<sub>2</sub> or TiO<sub>2</sub>(La) gate dielectric. These results confirm the large potential of sol-gel TiO<sub>2</sub>(La) films for application in MOS ICs.

In the flat-band condition, the oxide charge density  $N_{ox}$  was calculated from the expression  $qN_{ox} = C_{ox}(V_{FB}{}^{i} - V_{FB}{}^{e})$ , where the  $V_{FB}{}^{e}$  is the experimental and  $V_{FB}{}^{i}$  is the ideal flatband voltage. For the TiO<sub>2</sub> films, the  $N_{ox}$  value was  $-1.96 \times 10^{11}$  cm<sup>-2</sup> and it increased with the doping level of La. For the TiO<sub>2</sub>(La<sup>3</sup>) film, its value was the highest ( $N_{ox} = -1.2 \times 10^{12}$  cm<sup>-2</sup>). For the TiO<sub>2</sub>-Si and the TiO<sub>2</sub>(La<sup>3</sup>)-Si structures, the sign of  $N_{ox}$  was negative, indicating that the oxide charges in these films

are connected with trapped electrons. This is in contrast to a SiO<sub>2</sub> gate oxide, where the fixed oxide charge is always positive. At an intermediate doping level, i.e.  $TiO_2(La^1)$  and  $TiO_2(La^2)$  films, the sign of N<sub>ox</sub> was positive. The orders of magnitude of the N<sub>ox</sub> values for our MIS structures were comparable to those of standard SiO<sub>2</sub>/Si structures used in contemporary MOS-ICs.

The difference of the  $V_{FB}$  values,  $\Delta V_{FB}$ , of the C-V curves measured at 101 and 290 K is related to the density of electron states,  $N_{it}$ , at the oxide-Si interface. The  $N_{it}$  values were estimated from the relation  $N_{it} = [N_t(T_{m2})-N_t(T_{m1})]/q\Delta V_{FB}$ , where  $T_m$  is the measurement temperature. The charge captured in the interface traps was positive for the TiO<sub>2</sub>-Si and TiO<sub>2</sub>(La<sup>1</sup>)-Si structures and negative in the TiO<sub>2</sub>(La<sup>2</sup>)-Si and TiO<sub>2</sub>(La<sup>3</sup>)-Si structures. This indicates that different types of defect are generated, depending on the doping level of La in the oxide. For all the structures, the  $N_{it}$  density was of the order of  $10^{12}$  eV<sup>-1</sup>cm<sup>-2</sup>, which is comparable to the corresponding values for as-grown SiO<sub>2</sub>/Si interfaces in most of the MOS IC.

In order to study the conductivity in the  $TiO_2(La)$  films, the I-V characteristics of the MIS structure were measured at different temperatures in the accumulation regime. In this case, the capacitance was maximal and constant, and the applied voltage droped entirely across the film. The forward current characteristics of the undoped and doped with La  $TiO_2$ -Si structures were similar, and all considerations and conclusions made from the I-V characteristics of the undoped  $TiO_2$  structures are also valid for the  $TiO_2(La)$ -Si structures. For illustration, the forward I-V curves of the  $TiO_2$ -Si structures are shown in Fig. 2. As expected, the I-V curves shift towards larger voltages with decreasing temperature. The I-V curves measured at 88 and 107 K practically coincide with each other. For the  $TiO_2(La)$ -Si structures, this was observed in the temperature range 90-113 K. This indicates that in these MIS structures, tunneling-type conduction appears at low temperatures. Direct tunneling through the  $TiO_2$  layer (Fowler-Nordheim emission) can be excluded, because the  $TiO_2$  layers are comparatively thick (182 nm). From the analysis of the I-V curves, described in detail elsewhere [6], it was established that the electron conduction mechanism in these structures is inter-trap tunneling.

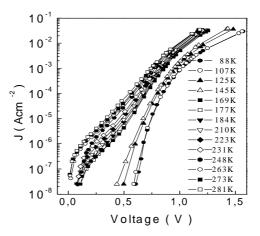


Fig. 2. I-V characteristics of a MIS structure with an undoped TiO<sub>2</sub> film, at different temperatures.

In the case of inter-trap tunneling, charge carriers move from one occupied deep level to the next nearest unoccupied deep level. The current density J for electron tunneling via deep levels at an energy position,  $q_{\epsilon_t}$ , close to the quasi-Fermi level in TiO<sub>2</sub> can be expressed [6] as

$$\mathbf{J} = \frac{q}{2w^3} w.\nu.\exp[\frac{-2(2m^* q \mathcal{E}_t)^{1/2} w}{\hbar}] \cdot 2\sinh[(2m^* q)^{1/2} \frac{w^2 V}{\mathcal{E}_t^{1/2} d}], \qquad (1)$$

where  $1/2w^3$  is the concentration of electrons in deep levels at a distance w from each other and v is the electron attempt to escape frequency from the traps, of the order of  $10^{13} \text{ sec}^{-1}$ . Eq. (1) corresponds to a rectangular potential barrier and is valid when Vw/d is considerably smaller than  $\varepsilon_t$ . Then the slope of a of plot ln(J) vs. V for the forward current at high electrical field is

$$B = \sinh[(2m^*q)^{1/2} \frac{w^2 V}{\varepsilon_t^{1/2} d}]$$
(2)

The intersection of the extrapolated plot with the  $\ln(J)$  axis at V = 0 gives the  $\ln J_0$  value, as  $J_0$  is:

$$J_0 = \frac{qv}{w^2} \cdot \exp\left[\frac{-2(2m^*q\varepsilon_t)^{1/2}w}{\hbar}\right]$$
(3)

From the slope B, for the forward current at high electrical fields, and from this  $lnJ_0$  value, the energy position  $q\epsilon_t$  of the deep levels and the distance w between them can be calculated. For the TiO<sub>2</sub>-Si structures, they were 0.25 V and 3.46-3.48 × 10<sup>-7</sup> cm, respectively. The density of deep traps N<sub>t</sub> in the film can be estimated from the expression N<sub>t</sub>  $\cong$  1/w<sup>3</sup>, giving a value of 2.4 × 10<sup>19</sup> cm<sup>-3</sup>. Similar densities of traps, of the order of 10<sup>19</sup>-10<sup>20</sup> cm<sup>-3</sup>, have been observed in Ta<sub>2</sub>O<sub>5</sub> films prepared by physical vapor deposition, chemical vapor deposition and anodization of Ta films [7].

Assuming a uniform distribution of the fixed oxide charge  $N_{ox}$  in TiO<sub>2</sub>, the bulk density of defects  $N_t$  can be estimated by dividing  $N_{ox}$  by the thickness of the dielectric film, d. In the case of the MIS structure with the undoped TiO<sub>2</sub> film,  $N_t$  was  $1.08 \times 10^{16}$  cm<sup>-3</sup>, which differs from the value of  $2.4 \times 10^{19}$  cm<sup>-3</sup> estimated from the tunneling type I-V characteristics. This difference can be explained by the fact that the density of  $N_{ox}$  is a result of the superposition of positively and negatively charged defects [8], while  $N_t$  is the total defect density of charged and neutral defects.

Since the inter-trap distance w is included in both exponential factors in eq. (1), a minor increase of w will significantly reduce the leakage current through the  $TiO_2$  film. This illustrates the importance of the need to decrease the trap density of  $TiO_2$  films, to open the path for their use as high permittivity gates in future MOS technology.

#### 4. Conclusions

It has been shown that a small amount of La in sol-gel deposited TiO<sub>2</sub> films increases the dielectric constant up to ~  $94\epsilon_0$ . The density of the fixed charge in the films and the density of the traps at the TiO<sub>2</sub>(La)-Si interface are comparable to those usually obtained for MOS structures with as-grown SiO<sub>2</sub> gate dielectrics. Analysis of the I-V characteristics of these structures, in the accumulation regime has shown that electron transport in the TiO<sub>2</sub> film occurs by inter-trap tunneling via traps with a density of the order of  $10^{19}$  cm<sup>-3</sup>. However, the application of TiO<sub>2</sub>-Si structures in future MIS devices requires amelioration of the sol-gel deposition conditions, to obtain films with lower trap densities and reduced leakage currents through the TiO<sub>2</sub> dielectric.

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